

Section 5 – Strategic Risks




Detailed performance information about the actions being taken to minimise the occurrence of risk

RISK

Report Author: Leanne Admin_Dixon





Report Type: Risk Report


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Risk Status	
	OK
	Warning
	Alert





Risk Code	Risk Title	Original Impact	Original Likelihood	Current Impact	Current Likelihood	Target Impact	Target Likelihood	Traffic Light Graphic	Latest Note
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Priority: **1) Delivering Quality Services to Customers**

Risk Code	Risk Title	Original Impact	Original Likelihood	Current Impact	Current Likelihood	Target Impact	Target Likelihood	Traffic Light Graphic	Latest Note
CPR1	LSP does not maintain green	2	D	2	F	2	E		<p>Miladur Rahman 28/05/2008 Good progress is being made in delivering the LSP action plan. The LSP continues to strengthen and is confident that it will maintain its green status. Government Office have not indicated that they will be reviewing our LSP in October 08. LSP is going through major changes with refreshing its sustainable Community Strategy and reviewing its structure.</p>
CPR3	Staff and partners do not engage with CI&ES	2	C	2	D	2	C		<p>Miladur Rahman 28/05/2008 Event held in September 07 with Senior Management Team about consultation toolkit and the Council's approach to consultation. All staff who undertake consultation are required to complete the consultation database. Responsible officers for consultation are reminded of the consultation toolkit and encouraged to use the toolkit. The toolkit is also on the Council website and LSP website for partners to use.</p>
CSR2	Failure to meet Customer Service expectations.	4	C	4	F	4	F		<p>Andrew Buckle 22/04/2008 There is a planned programme of mystery shopping to be carried out over all Council service areas during 2008 - 09. The results of these will be fed in to the appropriate senior managers and where appropriate learning points will be developed and communicated.</p>
ETR2	Failure in enhanced two-tier proposals in delivering improvements to customers	1	C	2	C	2	D		<p>George Graham 03/01/2008 Work continues both at a Lancashire wide level and locally to improve the way in which the County and District Councils work together. Progress locally in terms of delivering the Locality Plan has been good and the relationship between the two organisations is improving with some clear benefits. However there remain issues, such as concessionary fares, which have the capacity at county wide level to destabilise matters. Hence while the impact of failure has reduced the</p>


									current likelihood remains significant largely due to issues at a Lancashire wide level.
LDR5	Failure to organise 2008 Local Elections	2	B	4	F	4	B		Joanne Smith 09/04/2008 Risk assessments are carried out annually for elections held in the Borough; in conjunction with national guidance and paying specific attention to local issues.

Priority: **2) Delivering Regeneration across the borough**




Risk Code	Risk Title	Original Impact	Original Likelihood	Current Impact	Current Likelihood	Target Impact	Target Likelihood	Traffic Light Graphic	Latest Note
ERSHR1	Valley Centre project does not go ahead (ER/3)	2	C	3	D	4	D		Jon Sharples 02/06/2008 Ownership of the Valley Centre now with AshCap and cabinet have approved AshCap as preferred developer. We are having on-going meaningful discussions with AshCap on the development agreement.
ERSHR3	New location cannot be found for Rossendale Transport Ltd's bus depot (ER/4)	2	B	2	D	1	F		Jon Sharples 02/06/2008 A new location has been found and a planning application is being prepared for change of use of the proposed new premises. Subject to planning approval it is intended to purchase the property and relocate the bus depot in 2008
ERSHR4	LCC is not able to commit sufficient funding to development of suitable new Rawtenstall bus interchange (ER/5)	2	B	2	B	1	F		Jon Sharples 02/06/2008 Terms cannot be agreed for the acquisition of the preferred bus station and a review of the project is now appropriate including options to be able to proceed on the current site and consideration of alternative solutions
ERSHR5	External funding is not forthcoming from Elevate and Northwest Development Agency	2	C	2	C	3	D		Jon Sharples 02/06/2008 Securing external funding remains an important issue. Elevate funding has been secured for the current financial year and next year's prospects appear reasonable. This funding stream from NWDA was secured on 2nd January 2008. It provides £4 million funding over a three year period from April 2008 and

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LDR4	Failure to support regeneration projects	2	B	4	D	4	D		<p>is subject to conditions. The funding approval is at programme level and individual projects within the programme must be compliant with the Sustainable Economic Strategy document and are each subject to individual funding approvals.</p> <p>Joanne Smith 21/01/2008 Regeneration reports being supported - regular officers' meetings.</p>
LDR7	Planning failure to respond to appeal dates/damage to reputation/planning decision not implemented	2	B	4	D	4	D		<p>Joanne Smith 21/01/2008 Appeal dates monitored.</p>
SDRO1	Failure to progress the Local Development Framework	3	B	2	B	3	C		<p>Gwen Marlow 21/01/2008 The Core Strategy was not found to meet the specified criterion when viewed by GONW and other independent bodies. Hence, Forward Planning have taken action to remedy the points raised. As a result, the dates/timescales for the Core Strategy have had to be amended to allow enough time for the changes to be made. Following Audit of 8th November 2007 it was agreed to re-issue Revised Preferred Options Version of the Core Strategy in February or March 2008. Head of Regulatory Services to report to Programme Board to state due dates and start dates will slip. This will affect the due dates for all of the Development Plan Documents (DPD) that make up the Local Development Framework (LDF).</p>

Priority: **3) Keeping our Borough Clean and Green**








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SSLR1	Resource Recovery Centre isn't developed.	2	A	2	A	3	D		Rick Smales 07/03/2008 A number of suitable alternative sites for location of a facility have been identified and viability investigated and assessed by Working Group of Officers – information presented to Members at Working Group meetings. Decision rests with Members.



Priority: **5) Improving health and well-being across the borough**

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CPR5	RLT Performance	2	B	2	D	2	C		Miladur Rahman 09/06/2008 Performance targets for RLT are set and monthly meetings are now established to monitor RLT performance. Currently RBC is reviewing RLT complaints process and a report with recommendations will be produced shortly. We will also be working to ensure that the recommendations from last years internal audit report are completed.
CPR4	Community Cohesion action plan not delivered against	2	C	2	D	2	D		Miladur Rahman 18/01/2008 Good progress being made in delivering the actions in the plan
ETR3	Failure to manage and deliver Lancashire's LAA targets	1	C	2	E	2	D		George Graham 03/01/2008 Based on Q2 performance data as reported to Cabinet all those measures which can be measured will be at or marginally below target. Thus while the impact of not achieving target remains critical the likelihood seems to be very low.

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Priority: **6) A Well Managed Council**

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CSR7	Sungard Contract Management	1	A	1	A	1	D		Andrew Buckle 09/06/2008 Rossendale is currently awaiting information from Sungard in relation to the overall service and strategic way ahead this should be available by the end of June 2008.
CSR8	Develop ICT Business Continuity Management (BCM)	1	C	1	A	1	D		Andrew Buckle 09/06/2008 A proposed disaster recovery solution out side of the multi partner contract has been scoped and costed. This will need to be agreed and then an appropriate vendor selected to provide the service.
ETR1	Changes as a result of Local Government reorganisation distract the Council from it's Corporate Plan & Priorities	1	B	1	D	2	C		George Graham 03/01/2008 CLG confirmed in December that no new unitaries are to be created in Lancashire. While there remain rumours that some form of reorganisation will be proposed in Lancashire these are rumours and even if proved correct any implementation date would be at least 3 years away. Thus the likelihood of such a change affecting the corporate plan is now regarded as low.
FSR1	Embedding Financial Management	1	C	3	E	2	F		Phil Seddon 21/04/2008 Action plan has been formulated and agreed with SMT. Evidence is currently being gathered for the year ended 07/08
FSR3	Inadequate Internal Control	2	C	2	D	3	D		Phil Seddon 21/04/2008 Liked to the Use of Resources work. Evidence gathering in process together with a joint approach from Finance and Legal & Democratic Services.
HRR2	Provision of effective Health and Safety Service	1	D	1	D	1	E		Liz Murphy 10/06/2008 No changes
LDR3	Lack of	1	B	2	E	3	C		Heather Moore 03/01/2008

LDR1	community leadership, poor representation Successful legal challenge; risk of costs; adverse publicity	2	B	4	D	4	D		<p>The role of Elected Members is regularly promoted in Rossendale Alive newsletter. The "Know Your Councillor" guide has been published and circulated throughout the Borough. The member profiles on the Council's website is regularly updated to ensure that constituents have accurate information. Ward walks have been held and a further programme is being developed for the remainder of the year. Neighbourhood Forum meetings support members in their community leadership role and informal surgery style sessions are held prior to the meeting. The member enquiry service is now monitored weekly by the Committee and Member Services Manager and reports are also sent to the Chief Executive to ensure monitoring takes place at a strategic level.</p> <p>21/01/2008</p> <p>Case management system now in place.</p>
LDR8	Failure to consider Human Rights Act 1998, damage to the standing of the Council risk to compensation	2	B	4	D	4	D		<p>Joanne Smith 21/01/2008</p> <p>Information to be sent out to Executive Directors and Service Heads.</p>