

Corporate Risk Register				
Corporate Internal Risks				
	Risk Title	Responsible Officer	Latest Note	Status
Business Continuity	Failure to ensure Business Continuity Management./ Emergency Planning	Clare Law	We have an Emergency Planning Management Team who meet on a quarterly basis to ensure that our Business Continuity and Civil Emergency Plans are robust, up to date and fit for purpose.	GREEN
Finance	Loss of financial income and potential income due to reduction in recycling rates	Sam Plum		GREEN
Finance	Non-payment of Benefit claims, Creditors and Staff together with robust income collection.	Phil Seddon		GREEN
Finance	Council does not align expenditure with future resources beyond 2017/18.	Phil Seddon	The updated MTFS was published in February 2017 as part of annual budget setting. The Scout Moor decision published July 2017 now adds a further annual funding of £600k.	RED
Finance	Rossendale Improvement Action Plan	Phil Seddon	Regular reporting to Members and scrutiny (including that of internal audit) ensures focus and continual improvement.	AMBER
Finance	Provision of Revenues, Benefits and Customer Services post September 2019.	Phil Seddon	Arrangement already in place and PID completed. Currently exploring alternative procurement routes	AMBER
IT	Failure of Data Centre Hosting and compromises to the security of information	Andrew Buckle		GREEN
IT	Failure to ensure secure electronic transmission of documents / information.	Andrew Buckle		GREEN
IT	Cyber Security	Andrew Buckle		GREEN

Corporate External Risks				
	Risk Title	Responsible Officer	Latest Note	Status
Communication	Failure to communicate appropriately with Rosendale's diverse communities, members, media, customers, residents, partners and stakeholders.	Ben Greenwood	Robust plans are in place and further strategies are being developed to reduce the likelihood of this of this happening.	AMBER
Litigation	Failure to meet statutory responsibilities in community safety	Alison Wilkins	Continue to work closely with the Police and other partners to address crime and anti-social behaviour	AMBER
Litigation	Failure to undertake statutory training could lead to potential litigation claims.	Clare Law		GREEN
Litigation	Failure to ensure corporate business continuity and staff capacity for Planning Service Area	Nicola Hopkins	Updated Business Continuity Plan in place- keep under review	AMBER
Litigation	Domestic nuisance	Sam Plum		GREEN
Litigation	Food Control	Sam Plum		GREEN
Litigation	Communicable disease control.	Sam Plum		GREEN
Litigation	Occupational health and safety enforcement	Sam Plum		GREEN
Litigation	Contaminated land	Sam Plum		GREEN
Litigation	Local Air Quality management	Sam Plum		GREEN

Litigation	Noise Control.	Sam Plum		GREEN
Litigation	Planning applications	Sam Plum		GREEN
Litigation	Private rented sector Housing Standards	Sam Plum	Medium risk: as well as service requests from tenants the loopholes in legislation means that unregulated rented property we are not aware of about are being occupied. They are 'hidden', leading to fraud issues and loss of income to council. A fire or death in private rented accommodation could lead to negative publicity for the Council. Illegal evictions are included in this area. Highly emotive which involve EH officers having to gather evidence of a breach of a criminal offence	AMBER
Litigation	Houses in Multiple Occupation and HMO licensing	Sam Plum	We need to examine our current internal processes as an authority as we need to be more pro-active corporately about finding these properties. New legislation means that potentially more properties in Rossendale will need to be licensed.	AMBER
Resources	Failure to have robust emergency and business continuity plans in place.	Steve Tomlinson	We monitor the effectiveness of the plans during quarterly meetings with the Emergency Planning Team, by holding table top exercises on potential incidents and reviewing lessons learned from incidents which have occurred.	GREEN
Statutory	Failure to comply with duties and responsibilities around homelessness	Michael Coogan	RBC has a series of statutory duties around homelessness and must achieve statutory compliance – levels of homelessness and the affordability of accommodation are sensitive to government policy changes and narrowing benefit criteria: increased demand for support is likely to exceed the current capacity of the Housing Options Team. The introduction of the Homelessness Reduction Act will broaden the cohort of people able to access the service and the involvement will be longer-term.	GREEN
Statutory	Failure to prepare for the introduction of the Homelessness Reduction Act by 1st April 2018	Mick Coogan	The Homelessness Reduction Act will mean an increased workload for the HOT as more customers will receive increased help regardless of priority, with new extended prevention and relief duties. The New Burdens funding is limited to £11/12k per year, whilst the number of cases is expected to increase by 26 to 51% when compared to trailblazers and Wales. HOT officers will spend more time assisting the majority of clients as reporting burdens to the DCLG will increase significantly. New IT systems to better manage workflows are being	AMBER

			looked into along with preparatory training for staff, and an action plan is being prepared. Personalised Housing Plans will be drafted prior to the introduction of the act to enable these to be practiced, referrals pathways will be established or reinforced, and the housing options for clients will be increased by more engagement with PRS landlords.	
Statutory	Failure to meet Temporary Accommodation duty under Part VII of the Housing Act 1996 without significantly increased B&B costs	Mick Coogan	Supported Temporary Accommodation has been significantly reduced with Supporting People funding stopping in March 2017, and LCC only funding services for young people with reduced age criteria. In addition to this there is the loss of 13 out of 19 units at Mount Pleasant for single people, and the impending loss of Elizabeth St in Burnley for Families. RBC is in the early stages of securing its own Temporary Accommodation in the form of dispersed housing. The amount towards B&B that can be claimed back via housing benefit has significantly decreased, and this will be impacted further with Universal Credit.	AMBER
Statutory	Failure to follow statutory responsibilities, regards safeguarding, prevent, health and safety legislation, employment legislation and the equality duty.	Steve Tomlinson	We investigate accidents/incidents that involve RBC staff. We keep records of all accidents /incidents and report any RIDDOR incidents to the HSE. We also undertake All Risk Assessments (RA) and Safe Systems of Work (SSOW) for Operations. We undertake departmental and service areas audits on a rolling 2 year basis.	AMBER
Income	Inflexibility of assets which results in lower expected returns	Cath Burns	Assets are in the ownership of RBC. The Council maintains a flexible approach in either the sale or lease of the Knowsley Road depot, with a preference for lease. Site currently on the market with string interest. Due diligence taking place on Spinning Point Phase 2 and a further investment opportunity.	AMBER